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PTO/SB/05 (03-01)

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<div style="display: flex; align-items: center;"><div style="writing-mode: vertical-rl; transform: rotate(180deg); font-weight: bold; margin-right: 10px;">112503</div><div style="text-align: center;"><div style="writing-mode: vertical-rl; transform: rotate(180deg); font-weight: bold;">6805 U.S. PTO</div><div style="font-weight: bold; margin-top: 10px;">UTILITY PATENT APPLICATION TRANSMITTAL</div><div style="font-size: small; margin-top: 5px;">(Only for new nonprovisional applications under 37 CFR 1.53(b))</div></div></div>	Attorney Docket No.	9842-0334-999	Total Pages	34
	First Named Inventor or Application Identifier			
	Jih-Sheng Lai			
	Express Mail Label No.	EV 313 842 382 US		

<div style="text-align: center;">APPLICATION ELEMENTS</div> <div style="font-size: small; margin-top: 5px;">See MPEP chapters 600 concerning utility patent application contents.</div>	<div style="text-align: center;">Commissioner for Patents</div> <div style="text-align: center; font-weight: bold;">P.O. Box 1450</div> <div style="text-align: center; font-weight: bold;">Mail Stop PATENT APPLICATION</div> <div style="text-align: center; font-weight: bold;">Alexandria, VA 22313-1450</div>
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<div style="font-size: small;">1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)</div> <div style="font-size: small;">2. <input type="checkbox"/> Applicant claims Small Entity status, see 37 C.F.R. § 1.27</div> <div style="font-size: small;">3. <input checked="" type="checkbox"/> Specification [Total Pages 19] (preferred arrangement set forth below, MPEP 1503.01)<ul style="list-style-type: none">- Descriptive title of the Invention- Cross Reference to Related Applications- Statement Regarding Fed sponsored R&D- Reference to Microfiche Appendix- Background of the Invention- Brief Summary of the Invention- Brief Description of the Drawings (if filed)- Detailed Description of the Invention (including drawings, if filed)- Claim(s)- Abstract of the Disclosure</div> <div style="font-size: small;">4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets 11]</div> <div style="font-size: small;">5. <input checked="" type="checkbox"/> Oath or Declaration (unexecuted) [Total Sheets 2]<ul style="list-style-type: none">a. <input type="checkbox"/> Newly executed (original or copy)b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed)<ul style="list-style-type: none">i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</div> <div style="font-size: small;">6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</div>	<div style="font-size: small;">7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</div> <div style="font-size: small;">8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)<ul style="list-style-type: none">a. <input type="checkbox"/> Computer Readable Form (CRF)b. <input type="checkbox"/> Specification Sequence Listing on:<ul style="list-style-type: none">i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); orii. <input type="checkbox"/> paperc. <input type="checkbox"/> Statement verifying identity of above copies</div>
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ACCOMPANYING APPLICATION PARTS
9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))
10. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee) <input type="checkbox"/> Power of Attorney
11. <input type="checkbox"/> English Translation Document (if applicable)
12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations
13. <input type="checkbox"/> Preliminary Amendment
14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. <input checked="" type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(i). Applicant must attach form PTO/SB/35 or it's equivalent
17. <input type="checkbox"/> Other:

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: filed

Prior application information:

Examiner:

Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS				
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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PENNIE & EDMONDS LLP
 COUNSELORS AT LAW
 3300 Hillview Avenue
 Palo Alto, California 94304

ATTORNEY DOCKET NO. 9842-0334-999

Date: November 25, 2003

Commissioner for Patents
 P.O. Box 1450
 Mail Stop PATENT APPLICATION
 Alexandria, VA 22313-1450

Sir:

The following utility patent application is enclosed for filing:

Applicant(s): Jih-Sheng Lai, Arshad Mansoor, Executed on: unexecuted
 Arindam Maitra, Frank Goodman

Title of Invention: MULTILEVEL CONVERTER BASED INTELLIGENT UNIVERSAL
 TRANSFORMER

PATENT APPLICATION FEE VALUE

TYPE	NO. FILED	LESS	EXTRA	EXTRA RATE	FEE
Total Claims	16	- 20	0	\$18.00 each	\$ 0
Independent	2	- 3	0	\$86.00 each	\$ 0
Minimum Fee					\$ 770
Multiple Dependency Fee					\$ 0
If Applicable (\$290.00)					\$ 0
Total					\$ 770
Applicant qualifies for the 50% Reduction for Independent Inventor, Nonprofit Organization or Small Business Concern					\$ 0
Total Filing Fee					\$ 770

☒ **DO NOT PUBLISH.** I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

☐ Priority of application no. filed on is claimed under 35 U.S.C. § 119.

☐ The certified copy of the priority application has been filed in application no. filed on .

Please charge the required fee to Pennie & Edmonds LLP Deposit Account 16-1150. A copy of this sheet is enclosed.

Respectfully submitted,



Gary S. Williams
 PENNIE & EDMONDS LLP

31,066
 Reg No.

Enclosure

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